

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2



REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications



PATENT APPLICATION

RULE 53(f) NO DECLARATION

Assistant Commissioner of Patents

Atty. Dkt.

PM 258030

99003250

and Trademarks

M#

Client Ref

Washington, DC 20231

Date:

March 31, 2000

Sir:

1. This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:

2. (Complete) Title:

RUBBER MIXTURES

without a filing fee or Oath/Declaration but for which is enclosed the following:3. ☒ Abstract 1 page(s).4. 13 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language6. 14 Numbered claim(s); and7. ☐ sheet(s) per set: ☐ 1 set informal; 8. ☐ formal of size: ☐ A4 ☐ 11"
Drawings:9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in GERMANY

Application No.	Filing Date	Application No.	Filing Date
(1) 19915281.0	03 APR 1999	(2)	
(3)		(4)	
(5)		(6)	

11. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date)
in U.S. Application No. / filed on 12. ☐ This is a reissue of Patent No. 13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. ☐ **Amend the specification** by inserting before the first line -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:14(a) ☐ National Appl. No. / filed (M#)14(b) ☐ International Appl. No. PCT/ filed which designated the U.S. --15. ☐ **Amend the specification** by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/ , filed --16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed

17. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

18. ☐ Attached:

19. This application is made by the following named inventor(s) (Double check instructions for accuracy.):

(1) Inventor	Hans-Detlef		LUGINSLAND	
	First	Middle Initial	Family Name	
Residence	Köln		Germany	Germany
	City	State/Foreign Country		Country of Citizenship
Post Office Address	Raderberger Strasse 147, DE-50968 Köln, Germany			
(include Zip Code)				

(2) Inventor				
	First	Middle Initial	Family Name	
Residence				
	City	State/Foreign Country		Country of Citizenship
Post Office Address				
(include Zip Code)				

(3) Inventor				
	First	Middle Initial	Family Name	
Residence				
	City	State/Foreign Country		Country of Citizenship
Post Office Address				
(include Zip Code)				

(4) Inventor				
	First	Middle Initial	Family Name	
Residence				
	City	State/Foreign Country		Country of Citizenship
Post Office Address				
(include Zip Code)				

(5) Inventor				
	First	Middle Initial	Family Name	
Residence				
	City	State/Foreign Country		Country of Citizenship
Post Office Address				
(include Zip Code)				

20. NOTE: FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information regarding additional inventors.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW.
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
Atty/Sec: RNM/sdm

By: Atty: Ruth N. Morduch

Reg. No. 31044

Sig: Ruth N. Morduch

Fax: (202) 822-0944
Tel: (202) 861-3617

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

RUBBER MIXTURES

CROSS-REFERENCE TO RELATED APPLICATION

This application is based on German Application DE 199 15 281.0, filed April 3, 1999, which disclosure is incorporated herein by reference.

FIELD OF THE INVENTION

The present invention relates to rubber mixtures, to a process for their preparation and to the production of moldings using the rubber mixtures.

BACKGROUND OF THE INVENTION

It is known to use sulfur-containing organosilicon compounds, such as 3-mercaptopropyltrimethoxysilane, 3-thiocyanatopropyltriethoxysilane or bis(3-(triethoxysilyl)propyl)tetrasulfane, as silane coupling agents or reinforcing additives in oxide-filled rubber mixtures, inter alia for the production of treads and other parts of automobile tires (DE 2 141 159, DE 2 212 239, DE 195 444 69 A1, US 3 978 103, US 4 048 206, EP 784 072 A1).

The additional use of alkylsilanes in rubber mixtures to reduce the mixing viscosity is also known (EP 795 577 A1, EP 864 605 A2).

In the preparation of rubber mixtures with organosilanes and a filler, for example a precipitated silicic acid, a chemical reaction takes place during the mixing process, for example in an internal mixer. This chemical reaction is a condensation reaction which is accompanied by the release of a considerable amount of alcohol. As described in the literature [A. Hunsche, U. Görl, A. Müller, M. Knaack, T. Göbel, *Kautsch. Gummi, Kunstst.* **50**, 881 (1997)], this condensation reaction is made up of a primary reaction, in which an ethoxy group reacts with a silanol group from the silicic acid, and a secondary reaction between two ethoxy groups, which results in crosslinking between the silane molecules. According to the state of the art, up to three mol of ethanol can be eliminated per mol of silicon by these reactions when silanes containing three ethoxy functional groups are used.

In some cases this eliminated alcohol causes appreciable technical problems in the further processing of the rubber mixtures, such as the porosity of the mixture during extrusion or undesired blistering in the rubber itself.

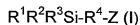
- 5 Furthermore, it is in the interest of health and the environment to reduce the amount of alcohol released during the reaction.

SUMMARY OF THE INVENTION

- 10 It has now been found that these disadvantages in the state of the art can be greatly mitigated by the use of organosilanes in which the trialkoxysilyl functional group used hitherto is replaced with silyl functional groups containing fewer alkoxy groups.

- 15 Accordingly, the present invention relates to the use of organosilanes of the general structure $R^1R^2R^3Si-R^4-Z$ (I), in which the organosilane contains fewer than 3 ethoxy groups per silicon atom.

- The invention provides rubber mixtures which are characterized in that they
20 contain organosilanes of the general structure



- wherein R^1 , R^2 and R^3 independently of one another are H, (C_1-C_4) alkyl, $(C_1-$
25 $C_4)$ alkoxy or halogen and the number of alkyl groups is ≥ 1 ;

R^4 is a linear or branched (C_1-C_{18}) alkylidene group; and

$Z = H$, halogen, SCN, SH or $S_x-R^4-SiR^1R^2R^3$, where x is 2 to 10.

- 30 Preferred organosilanes of the type described above are those with dialkylmonoalkoxysilyl functional groups in place of the trialkoxysilyl functional groups conventionally used. Particularly preferred silanes are the bis(3-{dimethylethoxysilyl}polysulfanes) according to the invention.

Rubber mixtures according to the invention may include organosilanepolysulfane and organoalkylsilane compounds. The organosilanepolysulfane may be a silane in which R^1 = ethoxy or methoxy, $R^2 = R^3$ = methyl, R^4 = propylidene or isobutylidene and $Z = S_x-R^4-SiR^1R^2R^3$, where x has a statistical mean value of 2 to 4. In another embodiment, the organoalkylsilane may be a silane in which R^1 = ethoxy or methoxy, $R^2 = R^3$ = methyl and $R^4 = (C_1-C_{18})$ alkylidene.

- 10 The present invention therefore provides rubber mixtures containing rubber, filler, especially including precipitated silicic acid, optionally other rubber auxiliaries, and at least one organosilane which is built up of the structural units described above and which is used in amounts of 0.1 to 15 wt.%, based on the amount of rubber used. The organosilane may include an
- 15 organosilanepolysulfane such as bis(3-{dimethylethoxysilyl}propyl)tetrasulfane or bis(3-{dimethylethoxysilyl}propyl)disulfane, optionally with an alkylsilane.

- Because of the smaller number of alkoxy groups in the organosilanes of general structure (I), the disadvantageous release of alcohol is reduced when
- 20 these organosilanes are used in rubber mixing processes. Compared with the known procedure, e.g. using bis(3-{triethoxysilyl}propyl)tetrasulfane (TESPT) as coupling agent, the maximum possible release of alcohol is reduced by 66%.

- 25 Surprisingly, it has now also been found that the rubber mixtures prepared with the silanes according to the invention, and the vulcanizates prepared therefrom, do not exhibit any disadvantages in respect of their engineering properties compared with the comparative reference material containing a triethoxysilyl functional group, according to the state of the art (See
- 30 Comparative Example 1 and Inventive Example 2).

The organosilanes according to the invention and the fillers are preferably added at stock temperatures of 100° to 200° C, but they can also be added

later at lower temperatures (40° to 100°C), for example, together with other rubber auxiliaries.

5 The organosilanes can be introduced into the mixing process either in pure form or adsorbed on an inert organic or inorganic carrier. Preferred carrier materials are silicic acids, natural or synthetic silicates, aluminum oxide or carbon blacks.

10 The following fillers are suitable for the rubber mixtures according to the invention:

- 15 - Carbon blacks: The carbon blacks to be used here are prepared by the lamp black, furnace black or gas black process and have BET surface areas of 20 to 200 m²/g, e.g. SAF, ISAF, HSAF, HAF, FEF or GPF carbon blacks. The carbon blacks can optionally also contain heteroatoms such as Si.
- 20 - Highly disperse silicic acids, prepared e.g. by the precipitation of silicate solutions or the flame hydrolysis of silicon halides, with specific surface areas of 5 to 1000, preferably 20 to 400 m²/g (BET surface area), and with primary particle sizes of 10 to 400 nm. The silicic acids can optionally also take the form of mixed oxides with other metal oxides such as Al, Mg, Ca, Ba, Zn and titanium oxides.
- 25 - Synthetic silicates, such as aluminium silicate, or alkaline earth metal silicates like magnesium silicate or calcium silicate, with BET surface areas of 20 to 400 m²/g and primary particle diameters of 10 to 400 nm.
- 30 - Natural silicates, such as kaolin and other naturally occurring silicic acids.
- Glass fibers and glass fiber products (mats, strands) or glass microspheres.

It is preferred to use carbon blacks with BET surface areas of 20 to 400 m²/g or highly disperse silicic acids, prepared by the precipitation of silicate solutions,

with BET surface areas of 20 to 400 m²/g in amounts of 5 to 150 parts by weight, based in each case on 100 parts of rubber.

5 These fillers can be used separately or in a mixture. In one particularly preferred embodiment of the process, the mixtures are prepared using 10 to 150 parts by weight of white fillers, optionally together with 0 to 100 parts by weight of carbon black, and 0.3 to 10 parts by weight of a compound of formula (I), based in each case on 100 parts by weight of rubber.

10 Apart from natural rubber, synthetic rubbers are also suitable for preparing the rubber mixtures according to the invention. Preferred synthetic rubbers are described for example in W. Hofmann, Kautschuktechnologie (Rubber Technology), Genter Verlag, Stuttgart 1980. They include inter alia:

- 15 - polybutadiene (BR)
- polyisoprene (IR)
- 20 - styrene/butadiene copolymers with styrene contents of 1 to 60 wt.%, preferably 2 to 50 wt.% (SBR)
- isobutylene/isoprene copolymers (IIR)
- 25 - butadiene/acrylonitrile copolymers with acrylonitrile contents of 5 to 60 wt.%, preferably 10 to 50 wt.% (NBR)
- partially hydrogenated or fully hydrogenated NBR (HNBR)
- ethylene/propylene/diene copolymers (EPDM)

30 and mixtures of these rubbers. Anionically polymerized solution SBRs with a glass transition temperature above -50°C, and mixtures thereof with diene rubbers, are of particular interest for the production of automobile tires.

The rubber vulcanizates according to the invention can contain other rubber auxiliaries known to the rubber industry, such as reaction accelerators, antioxidants, heat stabilizers, light stabilizers, antiozone agents, processing aids, plasticizers, tackifiers, blowing agents, dyes, pigments, waxes, extenders, organic acids, retarders, metal oxides, and activators such as triethanolamine, polyethylene glycol and hexanetriol.

The rubber auxiliaries are used in conventional amounts, which depend inter alia on the intended use. Conventional amounts are e.g. amounts of 0.1 to 50 wt.%, based on rubber. The organosilanes with rubber-reactive groups can be used on their own as crosslinking agents. It is normally advisable to add other crosslinking agents. Other known crosslinking agents which can be used are sulfur or peroxides. The rubber mixtures according to the invention can also contain vulcanization accelerators, examples of suitable vulcanization accelerators being mercaptobenzthiazoles, sulfenamides, guanidines, thiurams, dithiocarbamates, thioureas and thiocarbonates. The vulcanization accelerators and the sulfur or peroxides are used in amounts of 0.1 to 10 wt.%, preferably 0.1 to 5 wt.%, based on rubber.

The vulcanization of the rubber mixtures according to the invention can be carried out at temperatures of 100° to 200°C, preferably 130° to 180°C, optionally under a pressure of 10 to 200 bar. The rubbers can be mixed with the filler, the rubber auxiliaries, if appropriate, and the silanes of general structure (I) in conventional mixers such as rolls, internal mixers and mixer-extruders. The rubber vulcanizates according to the invention are suitable for the production of mouldings, e.g. for the manufacture of pneumatic tires, tire treads, cable sheathing, hosing, transmission belts, conveyor belts, roll covers, tires, shoe soles, gaskets and damping elements.

030310

General operating instructions

General processes for the preparation of rubber mixtures and vulcanizates
15 thereof are described for example in "Rubber Technology Handbook", W.
Hofmann, Hanser Verlag 1994.

The vulcanization times at 165° C are 16 and 30 minutes for the test pieces of Examples 1 and 2 respectively.

Table 1

Substance	Amount [phr]
1st stage	
BUNA VSL 5025-1	96.0
BUNA CB 24	30.0
ULTRASIL VN3	80.0
ZnO	3.0
Stearic acid	2.0
NAFTOLEN ZD	10.0
VULKANOX 4020	1.5
PROTEKTOR G35P	1.0
Silane	acc. to Ex. 1, 2
2nd stage	
Batch stage 1	
3rd stage	
Batch stage 2	
PERKACIT TBZTD	0.2
VULKACIT D	2.0
VULKACIT CZ	1.5
Sulfur	1.5

- 5 The polymer BUNA VSL 5025-1 is a solution-polymerized SBR copolymer from Bayer AG with a styrene content of 25 wt.% and a butadiene content of 75 wt.%. Of the butadiene, 73% is 1,2-linked, 10% cis-1,4-linked and 17% trans-1,4-linked. The copolymer contains 37.5 phr of oil and has a Mooney viscosity (ML 1+4/100°C) of 50 ± 5 .

The polymer BUNA CB 24 is a cis-1,4-polybutadiene (neodymium type) from Bayer AG with a cis-1,4 content of 97%, a trans-1,4 content of 2%, a 1,2 content of 1% and a Mooney viscosity of between 39 and 49.

5 The silicic acid ULTRASIL VN3 from Degussa AG has a BET surface area of 175 m²/g. TESP (bis(3-[triethoxysilyl]propyl)disulfane) of Comparative Example 1 is prepared according to patent DE 195 414 04. The silane of Example 2, bis(3-[dimethylethoxysilyl]propyl)disulfane, is prepared according to the state of the art by the hydrosilylation of chlorodimethylsilane with allyl chloride, followed by ethanolysis and sulfurization analogously to the process indicated in patent DE 197 342 95 A1.

15 The aromatic oil used is NAFTOLEN ZD from Chemetall. VULCANOX 4020 is PPD from Bayer AG and PROTEKTOR G35P is an antiozone wax from HB-Fuller GmbH. VULKACIT D (DPG) and VULKACIT CZ (CBS) are commercial products from Bayer AG. PERKACIT TBZTD is a commercial product from Flexis S.A.

The engineering properties of the rubber are tested by the methods indicated in Table 2.

Table 2

Physical test	Standard / Conditions
ML 1+4, 100°C	DIN 53523/3, ISO 667
Vulcameter test, 165°C	DIN 53529/3, ISO 6502
Ring tensile test, 23°C	DIN 53504, ISO 37
Tensile strength	
Tensile stresses	
Elongation at break	
Shore A hardness, 23°C	DIN 53 505
Ball rebound, 0, 23 and 60°C	ASTM D 5308
Viscoelast. Prop., 0 and 60°C	DIN 53 513, ISO 2856
E*	
tan δ	
DIN abrasion, 10 N force	DIN 53 516
Dispersion	ISO/DIS 11345

Examples 1 and 2:

- 5 Example 1 (Comparative Example) and Example 2 (Invention) are carried out in accordance with the general operating instructions.

As distinct from Comparative Example 1 with 5.8 phr of TESP, 4.3 phr of bis(3-(dimethylethoxysilyl)propyl)disulfane, corresponding to an equimolar dosage, are added to the mixture of Example 2 of the invention. The engineering data for the raw rubber mixture and the vulcanizate are as follows (Table 3):

10

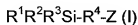
Table 3

Raw mixture			
Characteristic	Unit	Ex. 1	Ex. 2
ML (1+4) (3rd stage)	MU	69	74
MDR, 165°C			
Dmax-Dmin	dNm	14.1	13.8
t 10%	min	2.4	3.1
Vulcanizate			
Characteristic	Unit	Ex. 1	Ex. 2
Ring tensile test			
Tensile strength	Mpa	16.3	17.1
Tensile stress at 100% elongation	Mpa	1.5	1.6
Tensile stress at 300% elongation	Mpa	6.2	6.4
Elongation at break	%	540	560
Shore A hardness	SH	60	59
DIN abrasion	mm ³	100	110
Ball rebound (0°C)	%	12.5	11.6
Ball rebound (23°C)	%	34.3	32
Ball rebound (60°C)	%	59.8	59.4
Dynamic testing			
Dyn. Modulus E* (0°C)	Mpa	16.7	17.1
Dyn. Modulus E* (60°C)	MPa	7.3	7.3
Modulus loss factor tan δ (0°C)	-	0.444	0.476
Modulus loss factor tan δ (60°C)	-	0.143	0.131
Dispersion	-	6	6

The data in Table 3 prove that the use of the dimethylethoxysilane according to the invention (Ex. 2) causes no impairment of the engineering properties of the rubber compared with triethoxysilane according to the state of the art (Ex. 1).
 Rather, there is actually an advantage in the reduced value of tan δ (60°C), which correlates with the rolling resistance.

WHAT IS CLAIMED IS:

1. Rubber mixtures, comprising organosilanes of the general structure



wherein R^1 , R^2 and R^3 independently of one another are H, (C₁-C₄)alkyl, (C₁-C₄)alkoxy or halogen and the number of alkyl groups is ≥ 1 ; R^4 is a linear or branched (C₁-C₁₈)alkylidene group; and Z = H, halogen, SCN, SH or $S_x-R^4-SiR^1R^2R^3$, where x is 2 to 10.

2. Rubber mixtures according to claim 1, wherein the organosilanes comprise dialkylmonoalkoxysilyl functional groups.
3. Rubber mixtures according to Claim 1, comprising the organosilanes in an amount of 0.1 to 15 wt.%, based on the amount of rubber used.
4. Rubber mixtures according to Claim 1, comprising organosilanepoly-sulfane and organoalkylsilane.
5. Rubber mixtures according to Claim 4, wherein the organopolysulfanesilane is a silane in which:
 R^1 = ethoxy or methoxy, $R^2 = R^3$ = methyl, R^4 = propylidene or isobutylidene and Z = $S_x-R^4-SiR^1R^2R^3$, where x has a statistical mean value of 2 to 4.
6. Rubber mixtures according to Claim 1, wherein the organoalkylsilane is a silane in which:
 R^1 = ethoxy or methoxy, $R^2 = R^3$ = methyl and R^4 = (C₁-C₁₈)alkylidene.
7. Rubber mixtures according to Claim 1, comprising a synthetic rubber, a silicic acid as filler and an organosilanepolysulfane selected from the

group consisting of bis(3-{dimethylethoxysilyl}propyl) and bis(3-{dimethylethoxysilyl}propyl)disulfane.

- 5 8. Rubber mixtures according to Claim 1, comprising a synthetic rubber, a silicic acid as filler, an organosilanepolysulfane selected from the group consisting of bis(3-{dimethylethoxysilyl}propyl)tetrasulfane and bis(3-{dimethylethoxysilyl}propyl)disulfane, and an alkylsilane.
- 10 9. Process for the preparation of rubber mixtures according to Claim 1, comprising at least one other filler in addition to the rubber, wherein said process comprises adding an organosilanepolysulfane according to Claim 1 in said rubber mixtures.
- 15 10. Process for the preparation of rubber mixtures which contain at least one filler in addition to the rubber, comprising adding an organosilane-polysulfane and an organoalkylsilane according to Claim 1.
- 20 11. A molding obtained from a rubber mixture according to Claim 1.
12. The molding according to Claim 11, comprising a pneumatic tire.
13. The molding according to Claim 11, comprising a tire tread.
- 25 14. A method for using rubber mixtures according to Claim 1 for the production of moldings, comprising adding the rubber mixture of Claim 1 to a molding composition, and molding the molding composition in a mold for tires or tire treads.

ABSTRACT OF THE DISCLOSURE

Rubber mixtures which contain an organosilane of the general structure $R^1R^2R^3Si-R^4-Z$ (I), wherein R^1 , R^2 and R^3 independently of one another are H, (C₁-C₄)alkyl, (C₁-C₄)alkoxy or halogen and the number of alkyl groups is ≥ 1 ; R^4 is a linear or branched (C₁-C₁₈)alkylidene group; and Z = H, halogen, SCN, SH or $S_x-R^4-SiR^1R^2R^3$, where x is 2 to 10. The rubber mixtures are useful in molding compositions particularly for tires and tire treads.

001EED-TH68E560